IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)
Charles Gollnick)
Serial No. 10/657,785)
Filed: September 8, 2003)
For: NETWORK SUPPORTING ROAMING, SLEEPING TERMINALS))))
Examiner: P. Sobutka)
Group Art Unit: 2684)
Confirmation No.: 1344)

<u>LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION</u> <u>DISCLOSURE STATEMENT</u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in several disputes with Qualcomm, Inc., including the following pending lawsuit and investigation:

- In the Matter of Certain Baseband Processor Chips and Chipsets, Transmitter and Receiver (Radio) Chips, Power Control Chips, and Products Containing Same, Including Cellular Telephone Handsets, U.S. International Trade Commission Case. No. 377-TA-543.
- Broadcom Corp. v. Qualcomm, Inc., Civil Action No. 05-468 in the Central District of California

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present application may be related to at least one of the patents currently asserted by Broadcom in the

above-mentioned lawsuit and investigation. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending lawsuit and investigation.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has twenty-nine (29) pages. Applicants have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Further, legible copies of each printed reference listed in the attached form PTO/SB/08A were submitted in a parent application, U.S. Application No. 09/318,668, and are not attached to this electronic submission.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit and/or investigation. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

No fee is believed to be due because:

* The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: June 3, 2006

John A. Wiberg

Reg. No. 44,401

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400

Chicago, Illinois 60661

(312) 775-8000

EXHIBIT A

Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S. International Trade Commission Case. No. 377-TA-543

5,682,379 6,359,872 6,374,311 6,583,675 6,714,983 Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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	PINIEMEN	II DI APPLI	Group Art Unit	2684	
			Examiner Name	P. Sobutka	
(use as many sheets as necessary)			Attorney Docket Number	14206US03	
Sheet	1	of	29	Attorney Docket Number	142000000

			U.S. PATENT DO	CUMENTS	
Examiner Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,449,248	05-15-1984	Leslie et al.	
	A2	5,027,428	06-25-1991	Ishiguro et al.	
	A3	5,058,023	10-15-1991	Kozikaro	
	A4	5,058,203	10-15-1991	Inagami, Fujio	
	A5	5,142,534	08-25-1992	Simpson et al.	
	A6	5,224,152	06-29-1993	Harte	
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	A20	6,348,841	02-19-2002	See	
	A21	6,522,871	02-18-2003	Patrick et al.	
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·	A33	4,734,694	03-29-1998	Umetsu et al.	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'I Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number 10/657,785 INFORMATION DISCLOSURE Filing Date September 8, 2003 Charles Gollnick First Named Inventor STATEMENT BY APPLICANT 2684 Group Art Unit **Examiner Name** P. Sobutka (use as many sheets as necessary)) 14206US03 Attorney Docket Number 29 Of 2 Sheet

 A34	4,903,319	02-20-1990	Kasaì et al.	
A35	4,964,121	10-16-1990	Moore	
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 A46	6,764,983	07-20-2004	Hammond et al.	

		FOREIG	N PATENT DOC	CUMENTS		
Examiner Initials*	Cite No. 1	Foreign Patent Document Country Code³-Number⁴-Kind Code ⁸ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^β
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		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Respondent Qualcomm Incorporated's Notice Of Prior Art, with Exhibits A & D attached thereto
	C2	Qualcomm's Response To Interrogatory 3, with Exhibits B & C attached thereto
	C3	INTENTIONALLY LEFT BLANK
	C4	INTENTIONALLY LEFT BLANK
	C5	"A New Chapter In Wireless Communication And Email", Electronic Messaging News, 11/27/1991, Vol. 3, No. 24
	C6	"A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Cognito Group Limited, Bates Nos. QBB569268-323
	C7	"AMDC Extends RFP Deadline To March 10; Waiver Decision Expected At FCC's March Meeting", Industrial Communications, 02/17/1989, No. 7
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	C10	"Ardis Links With NCR Notepad 12/11/91", Newsbytes, 12/11/1991

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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	т	OTHER ART NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the
Examiner	Cite	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue
Initials*	No.1	number(s), publisher, city and/or country where published
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	C15	"Bellsouth Buys Into Ram's Nationwide Mobile Data Network", Industrial Communications,
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	C20	"Broadband Lan Technology", 1988
	C21	"BT Opens New Service Center", Communications Week, 11/11/1991, p. 25
	C22	"Business Plan Appendix IV - Financial Projections"
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			Examiner Name	P. Sobutka	
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Sheet	Sheet 4 Of 29			Attorney Docket Number	142000303

		OTHER ART NON PATENT LITERATURE DOCUMENTS
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Initials*	No. ¹	number(s), publisher, city and/or country where published
	C33	"Change Request 05.02-14", Recommendation GSM 05.02, v. 3.3.0, 11/28/1989
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		Much As Cellular", Industrial Communications, 06/09/1989, No. 22
	C35	"Communications Personals", Communications Daily, 02/11/1991, Vol. 11, No. 28
	C36	"Complexity, High Price Keep Some Customers Away: Mobile Datacoms Taxes Users", Communications Week International, 04/01/1991, p. 20
	C37	"Consolidation, New Ventures Going Full Speed Ahead In All Markets", Industrial Communications, 10/06/1989, No. 36, p.1
	C38	"Covering Note To Draft Rec. GSM 01.04 Version 2.00.01 - Vocabulary In A GSM PLMN", GSM 01.04 v. 2.00.01, 09/28/1990
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	C47	"Ericsson – Company Report First Boston Corporation (The)", 06/10/1988, Investext Report No. 813838, p. 9
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	C51	"Ericsson (L.M.) (Telefon A/B) – Company Report: FT Analysis", Ericsson (L.M.) (Telefon A/B) Company Report FT Analysis , Investext Report No. 6027183, 11/19/1991, p. 3
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		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C54	"Ericsson And GE And Speedwing Mobile Communications Team Up To Bring Mobile Data Communications To Airports Worldwide", Business Wire, San Francisco, 09/17/1991, p. 1
	C55	"Ericsson And GE Have Formed Joint Venture", Communications Daily, 08/28/1989
	C56	"Ericsson Announces Communications Software", Industrial Communications, 03/01/1991, No. 9
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	C58	"Ericsson GE And Racotek Announce A Strategic Relationship For Mobile Data Communications", Business Wire, San Francisco, 09/16/1991, p. 1
	C59	"Ericsson GE And Racotek Inc. In Marketing Supply Deal", Alliance Alert-Medical Health, Vol. 2, No. 10, 10/01/1991,
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	C61	"Ericsson GE Awarded \$11.6 Million Contract From The U.S. Navy", Business Wire, San Francisco, 11/10/1993, p.1
	C62	"Ericsson GE Awarded Contract From The City Of Richardson, Texas", Business Wire, San Francisco, 10/01/1991, p. 1
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	C68	"Ericsson GE Mobile Communications To manage Its Worldwide Cellular Telephone Business From RTP, N.C.", Business Wire, San Francisco, 04/24/1991, p. 1
	C69	"Ericsson GE Mobile Data And BRM Mobile Data Sign Agreements With GE Consumer Service", News Release, 07/25/1991, p.1
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Sheet 6 Of 29		29	Attorney bocker number	14200000	

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Examiner Initials*	Cite No. ¹	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C72	"Ericsson Gets \$55M Pact for Cellular Radio Data Gear", Electronic News (1991), p. 19, 06/12/1989
	C73	"Ericsson Has Data Unit" Communications Week, 01/08/1990, p. 8
	C74	"Ericsson Mobile Data Formed In New Jersey", Communications Daily, 01/05/1990
	C75	"Ericsson Signs \$55 Million Agreement With RAM Broadcasting For Mobile Data Network", Industrial Communications, 06/02/1989, No. 20
	C76	"Ericsson To Introduce Radio Modem Next Month", Telecommunications Alert, 12/17/1991, Vol. 8, No. 56
	C77	INTENTIONALLY LEFT BLANK
	C78	"Ericsson/GE Announces New Agreements", Industrial Communications, 10/04/1991, No. 40
	C79	"Ericsson: Breakthrough \$55 Million Agreement For Mobile Data Network In U.S.A.", Edge, 06/12/1989, Vol. 4, No. 64
	C80	"Ericsson's Mobitex Mobile Data System Is Chosen By Cantel For Nationwide Public Mobile Data Service In Canada", News Release, Ericsson (LM) Telephone, 12/01/1988, p. 1
	C81	"European Digital Cellular Telecommunications System (Phase 1), Network Functions", GSM 03.01 v 3.1.1, 02/1992
	C82	"European Digital Cellular Telecommunications System (Phase 2), Discontinous Reception (DRX) In The GSM System", GSM 03.13, v. 4.0.1, 07/01/1993
	C83	"European Digital Cellular Telecommunications System (Phase 2), Functions Related To MS Idle Mode", GSM 03.22, Version 4.4.0, 06/25/1993
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	C85	"European Digital Cellular Telecommunications System (Phase 2), Mobile Stations Features" GSM 02.07, v. 4.4.1, 06/07/1993
	C86	"FCC Calendar Of Events For The Week Of February 11", FCC Daily Digest, 02/08/1991, Vol 10, No. 27
	C87	"IBM, Motorola Join Networks Forming 2-Way Data Offering", Industrial Communications, 02/02/1990, No. 5, p. 1
	C88	"Industry Briefs", Network World, 10/14/1991, Vol. 8, No. 41, pp. 9, 11
	C89	"Lead Story #2: Swedish Government Picks Magnovox AVL For Upcoming Trials, Inside IVHS 02/04/1991, Vol. 1, No. 3
	C90	"Man-Machine Interface Of The Mobile Station", Recommendation GSM 02.30, v 3.5.0, 03/1990
	C91	"Minigrams", Computergram International, no. 1805, CGI11150023, 11/15/1991

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		"Motorola Inc. – Company Report: Kidder, Peabody & Company, Inc.", 05/15/1990, Investext Report No. 1011254, p. 2
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	C200	CAMPBELL et al., "A Cellular IP Testbed Demonstrator", Center For Telecommunications Research, Columbia University, New York, 1999, pp. 1-4
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•		MOULY, The GSM System For Mobile Communications, 1992
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	T = T	OTHER ART NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the
Examiner	Cite	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue
Initials*	No. ¹	number(s), publisher, city and/or country where published
	C495	INTENTIONALLY LEFT BLANK
	C496	INTENTIONALLY LEFT BLANK
	C497	INTENTIONALLY LEFT BLANK
	C498	Radio Equipment And Systems (RES); Digital ESTI Radio Equipment And Systems (RES); Digital Equipment Telecommunications (DECT) Common Interface Part 5: Network Layer, ET 300 175-5, 10/01/1992
	C499	Radiodetermination Satellite Services And Standards, 1987
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	C501	Recommended Minimum Performance Standards For 800 MHz Dual mode Mobile Stations, EIA/TIA/IS-55, 12/01/1991, Bates Nos. QBB149924-150025
	C502	INTENTIONALLY LEFT BLANK
	C503	Service Accessibility - Change Request, GSM 02.11 v 3.6.0, 01/14/1992
	C504	Service Accessibility – Change Request, GSM 02.11 v 4.0, 02/06/1992
	C505	Service Accessibility – GSM 02.11 v 4.3.0, 10/1992
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	C507	Service Accessibility, ETSI TS 122 011 v 6.4.0
	C508	Smart RF Wireless Data Microtransmitter AT86RF401, 1998
	C509	SMH Meeting Report, Doc 255/92, 03/30/1992
	C510	Speech Processing Functions: General Description, GSM 06.01 v 3.0.0, 02/1992
	C511	
	C512	INTENTIONALLY LEFT BLANK
	C513	Sweden, Telecommunications (International Edition), 1991, Vol. 25, No. 10, pp. 145-148
	C514	INTENTIONALLY LEFT BLANK
	C515	Technical Realization Of The Short Message Service – Point-to-Point, GSM 03.40 v. 3.5.0, 02/1992
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	C517	Types Of Mobile Stations, GSM 02.06 v 3.2.0, 02/1992

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